

# Onboarding form for intermediaries (firm)

This form must be completed by Compliance/authorised individual only.

**Name of Handelsbanken branch you've been working with**

## Intermediary details

Registered business name

Trading name

FCA registration number

Principal contact name

Email

Mobile

Network name (appointed representatives only)

Number of brokers (CPD20)

Trading address

Are you part of a mortgage club? (directly authorised intermediaries only)

Yes No If yes, provide name of mortgage club(s):

## About your firm

Have you previously had any brokers removed from a lenders' panel?

Yes No Please provide name, reference number, date of removal and reason for removal:

Companies House registration number

Data Protection registration number

## Supporting documents (directly authorised intermediaries only)

We confirm we have supplied copies of the below required documents:

Yes	No	Professional indemnity insurance certificate
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## Operational policies (directly authorised intermediaries only) We confirm we have in place the below policies:

Yes	No	AML, FC & KYC	Yes	No	Complaints handling
Yes	No	Conflicts of interest	Yes	No	Vulnerable customer
Yes	No	Data Protection			

## Procurations fee payment details (directly authorised intermediaries only)

We confirm our bank details are:

Bank name

Account name

Sort code

Account number

Remittance advice email contact

## Declaration

I declare to the best of my knowledge that the information I've provided in this form is accurate and up-to-date.

Signature

Date

Name

Position